

Customer No. 24498  
Serial No.:10/517,089

PU020274

2157



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

T.F.W.

Applicant : Thomas Anthony Stahl, et al.  
Serial No. : 10/517,089  
Filed : December 6, 2004  
For : METHOD AND APPARATUS FOR CONTROLLING THE DISTRIBUTION OF  
DIGITALLY ENCODED DATA IN A NETWORK  
Examiner : Jeevon Jones  
Art Unit : 2157

INFORMATION DISCLOSURE STATEMENT

- 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX] 5 A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified-document, and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- 8 The required certification made in item 11 below; or
- 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 10 37 CFR§ 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: /e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - (b) The required Certification is stated in item 11 below.

[X] 11 Certification

- [X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

Thomas Anthony Stahl, et al.

BY:

  
Paul P. Kiel, Attorney  
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THOMSON Licensing LLC  
Patent Operations  
P.O. Box 5312  
Princeton, New Jersey 08543-5312

DATE: 1/24/07

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, Alexandria, Virginia 22313-1450 on the date indicated below.

Date: 1/24/07

Signature

  
Daniel Gold



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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

<i><b>Complete if Known</b></i>	
Application Number:	10/517,089
Filing Date	December 6, 2004
First Named Inventor	Thomas Anthony Stahl, et al.
Art Unit	2157
Examiner Name	Jeevon Jones
Attorney Docket Number	PU020274

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/08b(08-03)

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Substitute for form 1449B/PTO

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*(Use as many sheets as necessary)*

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i>	
Sheet	2	of	2
		Application Number	10/517,089
		Filing Date	December 6, 2004
		First Named Inventor	Thoams Anohtony Stahl, et al.
		Art Unit	2157
		Examiner Name	Jeevon Jones
		Attorney Docket Number	PU020274

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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